



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/643,896	08/20/2003	Nobuo Aoi	740819-1033	4663

22204 7590 08/08/2006

NIXON PEABODY, LLP  
401 9TH STREET, NW  
SUITE 900  
WASHINGTON, DC 20004-2128

EXAMINER

OLSEN, ALLAN W

ART UNIT PAPER NUMBER

1763

DATE MAILED: 08/08/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/643,896

Applicant(s)

AOI, NOBUO

Examiner

Allan Olsen

Art Unit

1763

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 06 July 2006.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 7 and 8 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 7 and 8 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 20 August 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☒ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Response to Amendment***

The indicated allowability of claims 7 and 8 is withdrawn in view of the newly discovered reference US Patent 5,981,398 to Tsai et al. Rejections based on the newly cited reference follow.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**Claims 7 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 5,981,398 issued to Tsai et al. (hereinafter, Tsai).**

Tsai teaches etching an organic-inorganic hybrid film containing an organic component and a silica component by using plasma derived from an etching gas containing a hydrogen fluoride and an inert sputtering gas, not limited to Ar.

The following excerpts from column 6, line 45 - column 7, line 8, pertain to the organic-inorganic hybrid nature of the layer Tsai is etching.

Art Unit: 1763

With respect to the blanket hard mask layer 14, within the preferred embodiments of the present invention, the blanket hard mask layer 14 is formed from a material selected from the group consisting of silsesquioxane spin-on-glass (SOG) materials and amorphous carbon materials. Silsesquioxane spin-on-glass (SOG) materials are alkoxysilanes characterized by the general formula  $(R1)_xSi(OR2)_{(4-x)}$ , where: (1) x equals 1 or 2; (2) R1 typically includes at least one radical selected from the group including but not limited to hydrogen radical, carbon bonded hydrocarbon radical and carbon bonded fluorocarbon radical, but not an oxygen bonded radical; and (3) OR2 is an oxygen bonded alkoxide radical, typically but not exclusively methoxide radical or ethoxide radical. Within the preferred embodiments of the present invention, preferred silsesquioxane spin-on-glass (SOG) materials include trialkoxysilanes ( $H-Si(OR2)_3$ ), methyl-trialkoxysilanes ( $CH_3-Si(OR2)_3$ ) and trifluoromethyltrialkoxysilanes ( $CF_3-Si(OR2)_3$ ).

Within the preferred embodiments of the present invention, the blanket hard mask layer 14 when formed of a silsesquioxane spin-on-glass (SOG) material is formed employing spincoating and thermal curing methods as are conventional in the art of microelectronics fabrication. Such methods typically employ thermal curing at a temperature of from about 250 to about 400 degrees centigrade to fully condense the alkoxide functionality of the silsesquioxane spin-on-glass (SOG) material, while leaving the silicon-hydrogen or silicon-carbon bond intact.

The following excerpt from column 8 (lines 44-54) pertains to Tsai teaching the use of plasma derived from hydrogen fluoride and an inert sputtering gas.

Within the preferred embodiments of the present invention when the blanket hard mask layer is formed employing a silsesquioxane spin-on-glass (SOG) material, the first plasma 18 preferably employs a fluorine containing etchant gas composition comprising: (1) at least one fluorine containing etchant gas selected from the group including but not limited to perfluorocarbons of no greater than three carbon atoms, hydrofluorocarbons of no greater than three carbon atoms, fluorine, hydrogen fluoride, nitrogen trifluoride and sulfur hexafluoride; and (2) an inert sputtering gas such as but not limited to argon.

In the following excerpt from column 9, lines 56-57, Tsai teaches that  $N_2$  is an inert sputtering gas.

(3) an inert sputtering gas such as but not limited to argon or nitrogen.

Art Unit: 1763

Tsai does not explicitly teach etching an organic-inorganic hybrid film containing an organic component and a silica component by using plasma derived from hydrogen fluoride and N<sub>2</sub>.

It would have been obvious to one skilled in the art to etch the organic-inorganic hybrid layer of Tsai with plasma derived from hydrogen fluoride and N<sub>2</sub> because Tsai teaches using plasma derived from hydrogen fluoride and an inert sputtering gas and Tsai teaches that N<sub>2</sub> is an inert sputtering gas.

### ***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Allan Olsen whose telephone number is 571-272-1441. The examiner can normally be reached on M, W and F: 1-5.

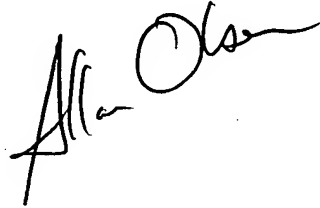
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Parviz Hassanzadeh can be reached on 571-272-1435. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR.

Art Unit: 1763

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

A handwritten signature in black ink, appearing to read 'Allan Olsen', written in a cursive style.

Allan Olsen  
Primary Examiner  
Art Unit 1763